

1 IN THE CIRCUIT COURT FOR  
2 JEFFERSON COUNTY

3  
4 CASE NUMBER: CV-2009-02318

5  
6 THE BANK OF NEW YORK MELLON,

7  
8 Plaintiff,

9  
10 VS

11  
12 JEFFERSON COUNTY, ALABAMA, et al.,

13  
14 Defendants.

15  
16 IT IS STIPULATED AND AGREED by  
17 And between the parties through their respective  
18 counsel, that the video deposition of ERIC  
19 ROTHSTEIN may be taken before JANET ARLEDGE,  
20 CCR, RPR, Commissioner, at the offices of  
21 BRADLEY, ARANT, BOULT, CUMMINGS, LLP at One  
22 Federal Place, Birmingham, Alabama, on the 23rd  
23 of August, 2010.

1                    Would counsel identify  
2 themselves and state who they represent?

3                    MR. CHILDS: I'm Larry Childs along  
4 with Paul Davidson. I represent the Plaintiff,  
5 the New York Bank Mellon, as trustee.

6                    MR. RICHIE: I'm Thomas Richie with  
7 Bradley Arant. This is Wally Sears with me. We  
8 represent the Defendant, Jefferson County,  
9 Alabama.

10                   VIDEOGRAPHER: Would the court reporter  
11 please swear in the witness?

12  
13                   ERIC PAUL ROTHSTEIN,  
14 Having been first duly sworn, was examined and  
15 testified as follows:

16  
17 EXAMINATION BY MR. CHILDS:

18                   Q.     What is your name?

19                   A.     Eric Paul Rothstein.

20                   Q.     Where do you live, Mr. Rothstein?

21                   A.     At 740 South Federal Street, Chicago,  
22 Illinois.

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Assuming

13 that to be the case, do you know of any reason  
14 that rate increases of up to 25 percent per year  
15 for Jefferson County would not be reasonable?

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19 A. I think in the context of a strategic  
20 financial plan, that would contemplate 25  
21 percent rate increases for some period of time  
22 that that may fall within the range of  
23 reasonableness.

1 C E R T I F I C A T E

2 STATE OF ALABAMA)

3 JEFFERSON COUNTY)

4 I, Janet Arledge, CCR, RPR,  
5 Commissioner, do hereby certify that I recorded,  
6 by means of stenotype, the foregoing proceedings  
7 at the time and place stated in the caption  
8 hereof. That later, under my supervision, the  
9 proceedings were transcribed by means of  
10 computer-aided transcription, and the foregoing  
11 represents a full, true, and correct transcript  
12 of the proceedings on said occasion.

13 I further certify that I am neither of  
14 counsel nor of kin to any parties of said cause,  
15 nor am I in any manner interested in the result  
16 thereof.

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19 Janet Arledge, CCR, RPR

20 CCR #288, Expires 9/30/11

21 Commission Expires: 1/25/14

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